

COMPLIANCE, ETHICS, INSURANCE/RISK MANAGEMENT, REGULATORY AFFAIRS, CORPORATE GOVERNANCE, AND CORPORATE RESPONSIBILITY/SUSTAINABILITY

Compliance professionals ensure that businesses, institutions, government entities, and individual employees act in accord with not just statutory rules and regulations, but also internal ethics codes and conduct rules. Compliance roles exist in numerous industries, but especially in financial services, healthcare, higher education, and throughout government.

Insurance and risk management are inter-related fields which involve identifying and analyzing risk of loss or damage, and, regarding risk management, taking steps to reduce or eliminate the exposures to loss faced by an organization or individual.

Regulatory affairs professionals work in highly regulated industry sectors as well as in government regulatory agencies. Those in the private sector are responsible for monitoring laws and regulations, advising on legal and scientific requirements, collecting and evaluating data, and engaging with regulatory agencies.

Corporate governance, responsibility, and sustainability professionals are responsible for supporting their board of directors and executive management in matters such as board practices, compliance, regulation and legal matters, shareholder relations and subsidiary management. Many companies are also concerned with their community relations and public image and hire professionals who can advise on matters relating to corporate responsibility and sustainable practices.

Resources:

- NALP's [Compliance Careers Checklist](#)
- Investopedia, [How to Get a Job in Compliance](#) (September 2020)
- Corporate Compliance, [Four Compliance Career Paths – And Why They Make a Difference](#) Insights (March 2020)
- The Balance Careers, [What Does a Compliance Officer Do?](#) (April 2019)
- The Balance Careers, [What is a Chief Sustainability Officer?](#) (April 2021)
- Forbes, [How to Start Your Career in Corporate Social Responsibility](#) (June 2017)
- American Bar Association [Corporate Compliance Resources](#)
- American Bar Association [Business Crimes, Investigations, and Corporate Compliance Committee](#), [Compliance and Ethics Committee](#), [Corporate Governance Committee](#), [Corporate Social Responsibility Law Committee](#), and [Section of Administrative Law and Regulatory Practice](#)
- [International Risk Management Institute](#)
- American Bar Association, [Corporate Lawyers Changing the World: ESG, Sustainability, and CSR: The Roles of Directors](#) (June 2020) (Podcast)
- American Bar Association, [The Evolution of Risk Management and the Business Lawyer](#) (September 2016)
- American Bar Association, [Administrative and Regulatory Law News](#)
- National Conference of State Legislatures [Legislative Ethics Staff Directory](#)

Recommended Richmond Law Elective Courses:

- Administrative Law
- Business Associations
- Contract Drafting
- Corporate Compliance
- Corporate Governance
- Corporate Finance
- Health Law
- Intellectual Property and Transactional Law Clinic
- Internal Corporate and Government Investigations
- Introduction to Business
- Regulatory Law Practicum
- [In-House Externship Programs](#)

See Kathy Greenier in the Career Development Office for more information, including lists of job boards, professional associations, and opportunities for certifications and credentialing related to these career areas.